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Attorneys for Defendants Robinhood
Financial LLC, Robinhood Securities,
LLC, and Robinhood Markets, Inc.

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION**

SABRINA CLAPP and DENISE REDFIELD,
individually and on behalf of others similarly
situated,

Plaintiffs,

vs.

ALLY FINANCIAL INC.; ALPACA
SECURITIES LLC; CASH APP INVESTING
LLC; SQUARE INC.; DOUGH LLC;
MORGAN STANLEY SMITH BARNEY LLC,
E*TRADE SECURITIES LLC; E*TRADE
FINANCIAL CORPORATION; E*TRADE
FINANCIAL HOLDINGS, LLC; ETORO USA
SECURITIES, INC.; FREETRADE, LTD.;
INTERACTIVE BROKERS LLC; M1
FINANCE, LLC; OPEN TO THE PUBLIC
INVESTING, INC.; ROBINHOOD
FINANCIAL LLC; ROBINHOOD MARKETS,

Case No.: 4:21-cv-00896-HSG

**CERTIFICATION OF INTERESTED
ENTITIES**

The Hon. Haywood S. Gilliam

1 INC.; ROBINHOOD SECURITIES, LLC; IG
2 GROUP HOLDINGS PLC; TASTYWORKS,
3 INC.; TD AMERITRADE, INC.; THE
4 CHARLES SCHWAB CORPORATION;
5 CHARLES SCHWAB & CO. INC.; FF TRADE
6 REPUBLIC GROWTH, LLC; TRADING 212
7 LTD.; TRADING 212 UK LTD.; WEBULL
8 FINANCIAL LLC; FUMI HOLDINGS, INC.;
9 STASH FINANCIAL, INC.; BARCLAYS
10 BANK PLC; CITADEL ENTERPRISE
11 AMERICAS, LLC; CITADEL SECURITIES
12 LLC; MELVIN CAPITAL MANAGEMENT
13 LP; SEQUOIA CAPITAL OPERATIONS LLC;
14 APEX CLEARING CORPORATION; THE
15 DEPOSITORY TRUST & CLEARING
16 CORPORATION,

Defendants.

Defendants Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC, by their attorneys, Farella Braun + Martel LLP and Cravath, Swaine & Moore LLP, pursuant to Federal Rule of Civil Procedure 7.1, disclose as follows:

1. Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC are privately held companies.
2. Robinhood Markets, Inc. does not have a parent corporation.
3. Robinhood Financial LLC and Robinhood Securities, LLC are wholly-owned subsidiaries of Robinhood Markets, Inc.
4. No publicly held corporation owns 10% or more of the stock of Robinhood Markets, Inc.; Robinhood Financial LLC; or Robinhood Securities, LLC.

Pursuant to Civil L.R. 3-15, the undersigned certifies that, in addition to the parties in this action, the following listed persons, associations of persons, firms, partnerships, corporations (including parent corporations) or other entities have a financial or other interest in the subject matter or in a party that could be substantially affected by the outcome of this proceeding:

1. Baiju Bhatt
2. Vladimir Tenev
3. Index Ventures

Dated: March 11, 2021

FARELLA BRAUN + MARTEL LLP



By: _____

C. Brandon Wisoff

Attorneys for Defendants Robinhood
Financial LLC, Robinhood Securities,
LLC, and Robinhood Markets, Inc.